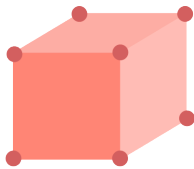


# On maximizing polynomials



$$\max_{\|\mathbf{x}\|_2=1} p(\mathbf{x})$$



$$\max_{\mathbf{x} \in \{-1,1\}^n} p(\mathbf{x})$$

*based on joint works with*

Tim Hsieh



CMU

Chris Jones



Bocconi

Pravesh Kothari



Princeton

**Lucas Pesenti**



**Bocconi**

Luca Trevisan



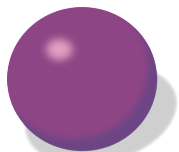
Bocconi

## Motivation (1/2)

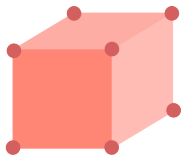
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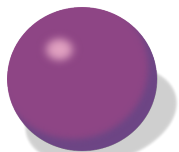
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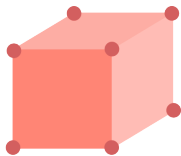
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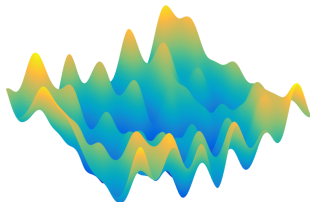
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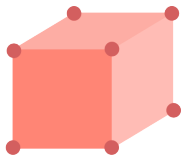
Nonconvex optimization

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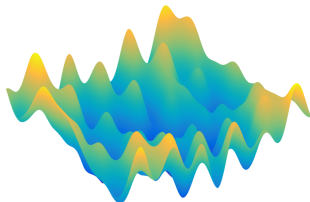
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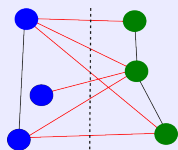


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Nonconvex optimization

### Ex: Max-Cut

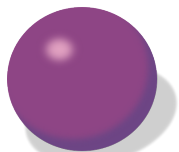


Fraction of edges cut by  $\mathbf{x}$ :

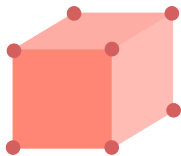
$$\frac{1}{2} - \frac{1}{2} \sum_{i \sim j} x_i x_j$$

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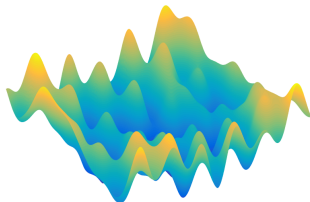
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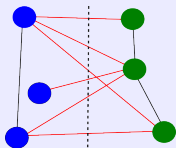


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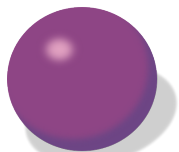
Ex: Principal Component Analysis

$$p(\mathbf{x}) = \sum c_{ijk} x_i x_j x_k$$

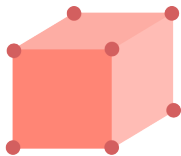
with  $c_{ijk} = x_i^* x_j^* x_k^* + \text{noise}$

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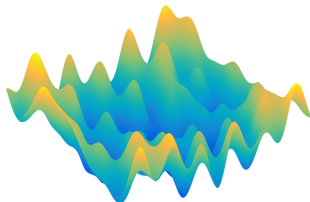
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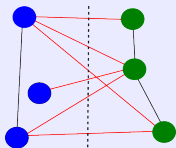


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Ex: Max-3SAT

$$(x_1 \vee \neg x_3 \vee x_4)$$

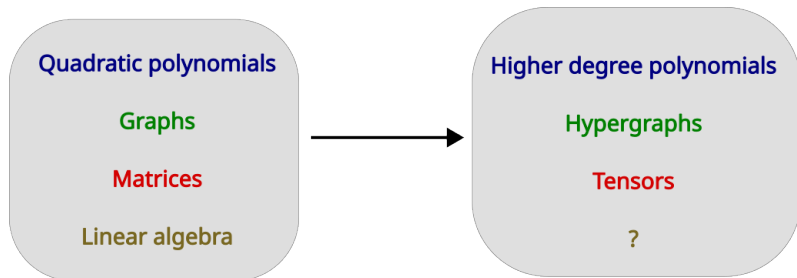
$$\wedge (\neg x_2 \vee x_3 \vee \neg x_5)$$

$$\wedge \dots$$

Fraction of clauses satisfied by  $\mathbf{x}$ :

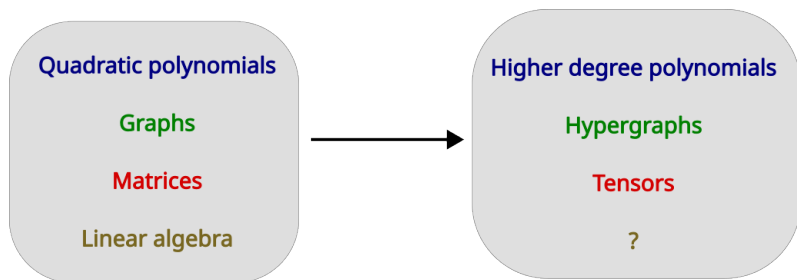
$$\frac{7}{8} + p_1(\mathbf{x}) + p_2(\mathbf{x}) + p_3(\mathbf{x})$$

## Motivation (2/2)





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### Today:

1. Spectral theory for hypergraphs
2. Approximation algorithms
3. Optimizing random polynomials

## 1. Spectral theory for hypergraphs

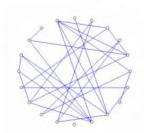
## Spectral bound for random hypergraphs



$p=0$   
(a)



$p=0.1$   
(b)



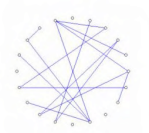
$p=0.2$   
(c)

$$A_{ij} \stackrel{\text{i.i.d.}}{\sim} \begin{cases} 1-p & \text{w. prob. } p \\ -p & \text{w. prob. } 1-p \end{cases}$$

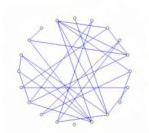
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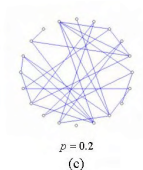
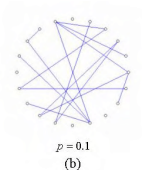
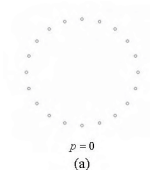
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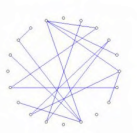
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$$\|\mathbf{A}\| \asymp \text{Tr}(\mathbf{A}^{2k})^{\frac{1}{2k}} \text{ if } k \sim \log n.$$

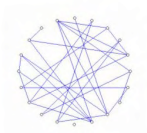
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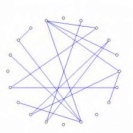
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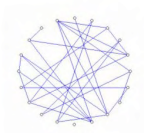
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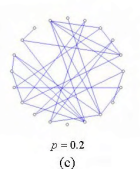
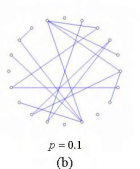
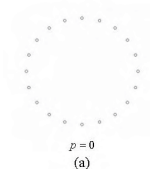
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**✗** no tensor analog!

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## 2. Approximation algorithms

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 [Hastad'01]  $\frac{7}{8} + \epsilon$  is NP-hard.

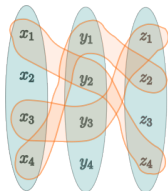
 [Hsieh-Kothari-P.-Trevisan'24]  $\frac{7}{8} + \tilde{\Omega}(\frac{1}{n^{3/4}})$

## Non-homogeneous polynomials

What goes wrong when  $p(\mathbf{x}) = \sum c_{ij}x_i x_j + \sum c_{ijk}x_i x_j x_k$ ?

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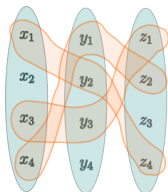


**Decoupling:**

✓ degree-3:  $\max_{\mathbf{x}} \sum c_{ijk} x_i x_j x_k \asymp \max_{\mathbf{x}, \mathbf{y}, \mathbf{z}} \sum c_{ijk} x_i y_j z_k$

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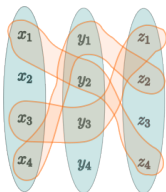


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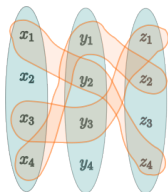
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- ✓ degree-3:  $\max_{\mathbf{x}} \sum c_{ijk} x_i x_j x_k \asymp \max_{\mathbf{x}, \mathbf{y}, \mathbf{z}} \sum c_{ijk} x_i y_j z_k$
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**Open:** What is the worst *value* of non-homogeneous degree-3 polynomials?

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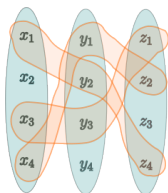
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### 3. Optimizing random polynomials



## Optimizing random polynomials

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Typical/random  $c_{ij} \sim \{-1, 1\}$ ?

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Physics: ✓ simple ✗ non-rigorous

Maths: ✓ rigorous ✗ technical

# The Fourier tree basis

$$\Omega = \left\{ \bullet, \begin{array}{c} \bullet \\ | \\ \circ \end{array}, \begin{array}{c} \bullet \\ | \\ \circ \\ | \\ \circ \end{array}, \begin{array}{c} \bullet \\ | \\ \circ \\ / \quad \backslash \\ \circ \quad \circ \end{array}, \begin{array}{c} \bullet \\ | \\ \circ \\ | \\ \circ \\ | \\ \circ \end{array}, \begin{array}{c} \bullet \\ | \\ \circ \\ / \quad \backslash \\ \circ \quad \circ \end{array}, \dots \right\}$$

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[Jones-P.'24+]

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✓ New expression for the value that they achieve  
[extended Parisi formula]

## Conclusion

1. Beyond trace method & flattenings  $\implies$  spectral theory for hypergraphs.
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Thank you, Luca.